Our Integrity Code



Doing The Right Thing.



Contents

01 Introduction	04
02 What We Stand For: Our Understanding of Integrity and Our Corporate Principles	08
We are profitable and are committed to people and the environment	10
We act responsibly and respect the rules	10
We address issues openly and stand for transparency	11
Fairness and respect are the foundation of our collaboration	11
We practice diversity	11
03 How We Work: Our Behavior Guidelines	12
Principles for working together	13
Compliance with laws	22
Dealing with business partners	32
Sustainability and social responsibility	38
Digitalization	46
04 Complying with the Integrity Code	52
05 Glossary	58



At Mercedes-Benz Group, we strive to always be Those who work at the Mercedes-Benz Group¹ know that we strive to always be the best. We want to develop advanced technologies, build amazing vehicles and offer intelligent mobility solutions. This commitment to excellence² also applies to how we deal with each other, our customers and our business partners. We also want to fulfill our social responsibility in an exemplary manner.

Our company is a founding member of the UN Global Compact and is committed to its global responsibility As a founding member of the United Nations Global Compact, we are committed to respecting human rights, respecting the rights of employees and their representatives, protecting the environment, enabling fair competition and fighting against corruption.

^{1 &}quot;Mercedes-Benz Group" refers to Mercedes-Benz Group AG and its controlled Mercedes-Benz group companies.

² Color-coded terms in the main text are explained in the glossary

We expect all of our employees to act with integrity.

However, the only way for us as a company to set an example on a global scale is if we work responsibly at the local level – on every shop floor and in every office. We do not tolerate any unlawful or inappropriate conduct, which conflicts with this Integrity Code. Accordingly, the provisions of this Code are **binding** for all employees of Mercedes-Benz Group AG as well as all employees at controlled Group companies.

Our corporate values of respect, passion, discipline and integrity are the foundation of our work.

The foundation of our work at the Mercedes-Benz Group is built on our four corporate values: respect, passion, discipline and integrity. We show **respect** for our employees, customers and business partners. Our **passion** enables us to do our best every day; it is the basis of our success. For us, **discipline** means taking on challenges and achieving our goals while taking various interests into account. Our **integrity** makes us successful over the long term and contributes to a functioning society. These values lay the foundation for the corporate values at all our Group companies.

Introduction



Integrity plays a central role at the Mercedes-Benz Group.

Integrity plays a central role at the Mercedes-Benz Group and shapes how we perceive ourselves. This Integrity Code cannot anticipate every situation in which we have to make a decision. We also take responsibility in situations for which there are no clear rules or in which they can be interpreted in different ways.

Integrity means doing the right thing by **living our values**. This means that: We follow internal and external rules, we act in accordance with our corporate values and, in doing so, also listen to our inner compass. In this context, we orient ourselves using our **five corporate principles**, which should be shared and practiced by all of us.

Our Corporate Principles

When working, we aim to strike a balance between profitability, people and the environment.

We are profitable and are committed to people and the environment

We produce fascinating vehicles and offer innovative mobility services in order to be successful and profitable. After all, we can only offer future prospects if we are economically successful. The most important asset of our company are the people who work for the Mercedes-Benz Group and the passion with which they do so. Accordingly, we invest in the personal development of our employees. Sustainable treatment of the environment is important to us, which is why we protect it and use our resources responsibly. Our aspiration is to strike a balance between profitability, people and the environment.

We follow laws and rules and take responsibility.

We act responsibly and respect the rules

At the Mercedes-Benz Group, we take responsibility for our actions and decisions and we also follow the rules. That means we comply with laws as well as internal and external rules, agreements with employee representatives, voluntary commitments and this Integrity Code. We make decisions conscientiously. Everyone is urged to reflect this in their own behavior.

Honesty, openness and transparency are the foundations of our behavior.

We address issues openly and stand for transparency

The foundations for our actions and behavior – internally and externally – are honesty, openness and transparency. This enables us to establish trust. We learn from the past and rise to new challenges. We encourage every one of us to stand up and contribute their opinion when something is not right or does not feel right (speak-up culture). To that end, we create an atmosphere in which we can tell it like it is without the fear of negative consequences. Different opinions are respected and people are encouraged to question the decisions of others.

Our collaboration is based on trust, fairness and respect.

Fairness and respect are the foundation of our collaboration

At the Mercedes-Benz Group, we treat one another with fairness and respect. Team spirit, mutual trust and a respectful attitude are important to us. This applies for our employees and also for our customers, all business partners and everyone else.

The diversity of our workforce is one of our strengths.

We practice diversity

At the Mercedes-Benz Group, diversity is not simply a matter of complying with legal requirements. At the Mercedes-Benz Group, our strength lies in the differences between our employees, which are a key factor in our success. Their varied skills, perspectives and experiences form the basis of innovation and help us to understand the needs of our customers worldwide. Our corporate culture is characterized by respect, tolerance and team spirit.



Principles for working together

The conscientious leveraging of diversity and individuality is part of our corporate strategy.

Discrimination is not tolerated – our goal is to achieve equal opportunity.

Diversity and equal opportunity

At the Mercedes-Benz Group, we appreciate the differences between our employees. This is why diversity and inclusion are part of our corporate strategy, which addresses the **conscientious leveraging of diversity and individuality.** As we are a global company, this is crucial to our success.

In accordance with our **corporate principles**, we treat each other openly and with an attitude of mutual respect, tolerance and fairness. Equal opportunity for all is our goal. Discrimination of any kind is not tolerated. We do not discriminate against the following:

- Sex, race, origin and nationality
- Religion or ideology
- Political, social or union affiliation
- Gender identity or sexual orientation
- Physical and/or mental disability
- Age.

We establish a culture of tolerance – bullying has no place in our company.

At the Mercedes-Benz Group, we respect the individual rights of our employees. We establish a culture of **tolerance** in which we can express ourselves as individuals in order to live up to our full potential at work. Any form of discrimination, harassment or bullying goes against who we are and has no place in the Mercedes-Benz Group. It is our shared duty to create a fair, tolerant, respectful and friendly working environment.

We coordinate external publications with the communications unit.

Representing the Mercedes-Benz Group

It is everyone's business to handle corporate information responsibly. Therefore, the Mercedes-Benz Group follows a policy intended to ensure coordinated and uniform representation of the company (One Voice Policy).

Consequently, **external publications and statements** in all forms of media (online, print, TV, radio and social media) as well as during speeches, lectures, interviews and panel discussions are to be coordinated with Corporate Communications.

When appearing in public, we ensure that our personal views are designated as such.

Mercedes-Benz Group employees have the right of freedom of expression. When stating our opinions in public, at events, in public online forums or on social media networks where we can be recognized as Mercedes-Benz Group employees, we ensure that we identify our **personal views** as such.

Safe working conditions are one of our top priorities.

We want to prevent work-related accidents and illnesses.

Health and safety

One of the Mercedes-Benz Group's top priorities is the health, safety and well-being of its employees. We create a working environment in which everyone can perform to the best of their ability while maintaining their physical and mental **health**.

High technical and workplace **safety standards** are crucial to our work. Their purpose is to prevent work-related accidents and illnesses. We trust our employees to do their part to achieve this goal.

We all bear responsibility for occupational health and safety at work.

At the Mercedes-Benz Group, we comply with industrial safety regulations and use the required **safety equipment**. We take individual responsibility for ensuring safe working conditions and report any potential health and safety risks. We do not work under the influence of alcohol and drugs if, by doing so, we endanger our own safety or the safety and well-being of others.

The Mercedes-Benz Group offers its employees prevention programs for their health.

The Mercedes-Benz Group offers country-specific programs designed to promote health and aimed at **prevention**, and the Mercedes-Benz Group counts on the active participation of its employees. The programs cover areas such as the following:

- Occupational safety and medicine
- Health promotion in the workplace
- Ergonomics
- Reintegration
- Counseling service.



Health and safety

The health, safety and well-being of our employees at Mercedes-Benz Group have high priority.

The Mercedes-Benz Group creates conditions that support a work-life

balance.

Work-life balance

Our **workplace culture** is based on trust and respect. The Mercedes-Benz Group creates general conditions that support our employees in their **personal life situations** as well as help them to give their best and remain productive. In doing so, we enable all our employees to contribute as much as possible to our success. To assist, we offer on the basis of company regulations a variety of country-specific options and programs for maintaining a work-life balance.

Conflicts of interest

We carefully weigh personal interests against the interests of the company.

If conflicts of interest arise, we disclose them.

The Mercedes-Benz Group respects the personal interests and the private lives of its employees. Every employee has to make sure that personal and private financial interests do not influence business decisions.

Therefore, we avoid situations in which personal or private financial interests conflict with the **interests of our company** or business partners. If such conflicts of interest arise, we disclose them and seek a solution with the respective manager which does not harm the interests of our company.



Work-life balance

Mercedes-Benz Group creates conditions that support a work-life balance.

Conflicts of interest can arise, for instance, if employees encounter any of the following situations:

- Accept, offer or grant gifts or invitations
- Are members of the governing bodies of other companies
- Pursue sideline activities
- Invest in competitors.

Working with employee representatives

We engage in constructive collaboration with employee representatives.

We are committed to our **social responsibility**. We work with all employees, employee representatives and unions in a spirit of respect and trust. We are dedicated to achieving a fair balance between the economic interests of the company and the interests of the employees. Even in cases of conflict, the shared goal will be to lay and preserve a firm foundation for **constructive collaboration**.

Use of resources

We use resources carefully and sustainably.

We use resources carefully and sustainably. We do so because of our social and environmental responsibility, out of respect for and as an obligation to our shareholders and because it is in our **own interest** to work in a company that operates sustainably.

We use company resources only for official purposes.

As a rule, we use the company's materials, intellectual property, assets and other resources only for official purposes. Exceptions apply when company regulations allow the **personal use** of company resources. Wastefulness in the use of resources diminishes the company's assets and may also cause reputational damage. We therefore make sure that we ourselves and those around us use resources responsibly and economically.

We protect information because it is valuable.

We also treat information responsibly because it is one of our most important assets. Information security and data backups are therefore extremely important to the Mercedes-Benz Group. Regardless of whether we are meeting with business partners or attending private events, we make sure that we do not disclose information about the Mercedes-Benz Group that gives people outside of the Mercedes-Benz Group unauthorized insight into our business processes. We take responsibility for the information we handle by applying general conditions for **information security**.

Special protection is given to business secrets.

Trade secrets, for example, inventions, new products or vehicle designs, secure our advantage over our competitors. We therefore protect this information to a particularly high standard. We comply with laws and internal regulations for protecting business secrets and respect the business secrets of our competitors, business partners and customers.

Compliance with Laws

We are designing the mobility of the future to be as safe as possible.

Product safety and compliance with technical regulations

The quality of our products has a high priority for us at the Mercedes-Benz Group.

Throughout our company's history, we have repeatedly set new standards of vehicle safety. Even today, we are doing our utmost at every level within our company

to make the mobility of the future as safe as possible.

We use technological advancements to reduce emissions and greenhouse gases.

Through the technological advancement of our products, we are pursuing the goal of **reducing emissions** in order to continuously improve air quality. Moreover, we are making efforts to continually lower the output of greenhouse gases in order to continue our contribution to protecting the climate.

We ensure the regulatory conformity of our products.

Throughout the **product life cycle**, we ensure the legal and regulatory conformity of our processes and products. When doing so, we take into account the fundamental spirit of the relevant laws and regulations. In cases of unclear legal framework conditions, our employees find guidance in our corporate principles as well as established and communicated structures and procedures.

e the legal and regulatory conformity
so, we take into account the fundamental
cases of unclear legal framework

regulatory conformity

Product safety and
compliance with

The quality of our products is one of the top priorities for us at Mercedes-Benz Group.

technical regulations



As experts, we strengthen the confidence in our products.

As employees, we play a central role. **From initial conception to end of life and disposal** of our products, we as experts contribute to meeting legal and internal requirements as well as the expectations of our customers and society, helping to strengthen their confidence in our products.

We consider the possible impact of our actions in everything we do.

We know the regulations that affect our areas of responsibility and ensure that our level of knowledge is always up to date. In every phase of our products' life cycle, we think about the possible impact of our actions. We openly address **potential risks** in our areas of responsibility (speak-up culture).

Our patents are some of our most important resources and must be protected.

Intellectual property protection

The Mercedes-Benz Group owns numerous patents and other property rights such as trademarks and designs. They are among the company's most important assets and enable us to stay competitive and react to the market. We therefore ensure that they cannot be misused by third parties. It is equally important to ensure that we avoid the unauthorized use of third-party intellectual property by the company.



Intellectual property protection

Our patents are some of our most important resources and must be protected.

We comply with applicable tax and customs regulations.

Tax and customs regulations

Antitrust law

At the Mercedes-Benz Group, we comply with the provisions of **tax and customs laws**, which include regulations on corporate tax, income tax and value-added tax, as well as the customs duties and excise tax to be paid on imports of goods.

We reject aggressive tax avoidance schemes.

Correct and timely declaration of taxes and duties is a basic principle for the Mercedes-Benz Group. We do not use aggressive or even illegal **tax avoidance schemes**. Aggressive anti-tax policy does not reflect our aspirations of sustainable corporate policy.

Well-functioning competition is a fundamental pillar of

our economy.

Well-functioning and free **competition** is one of the fundamental pillars of our social and economic system. It creates growth and employment and ensures that as consumers we can all buy modern products at affordable prices.

We are committed to protecting fair competition.

The Mercedes-Benz Group, too, benefits from functioning competition because the laws also protect us from **unlawful agreements** and excessive prices. We are committed to ensuring fair competition in our markets and to following applicable antitrust and competition laws.

Antitrust risks occur in a wide variety of situations, for example:

- When exchanging information and benchmarking with competitors
- As part of investments in shareholdings and during cooperation
- In connection with customer service and sales
- Regarding the permissibility of sales incentives
- When engaging in trade association activities.

Every employee knows the rules that apply to their unit.

Each of us plays an important role in complying with laws and internal regulations. Compliance with regulations requires that every employee knows and follows the rules which are relevant to their business unit.

We comply with all regulations under foreign trade laws.

Foreign trade legislation

Strict compliance with regulations of foreign trade legislation is a basic principle for global companies like the Mercedes-Benz Group. Foreign trade regulations must always be observed when exporting both tangible and intangible goods as well as services. Fundamental restrictions are placed on **military goods** and civil products that can also be used for military purposes.

We act with strict compliance regarding embargoes.

Furthermore, certain goods and countries fall under special restrictions, such as **arms or luxury goods embargoes**. Every employee ensures strict compliance with regulations under foreign trade laws applicable to their area of responsibility.

We observe sanctions and support the fight against money laundering and terrorism financing.

We design our business processes so that they prevent financial crime.

Economic sanctions and money laundering prevention

As a global corporation, we comply with national and international **economic sanctions** and support the community of states to **fight against money laundering and terrorism financing**. The Mercedes-Benz Group complies with all requirements in these areas.

Employees of the involved units are required to always keep up to date on current **sanctions lists**. The employees responsible for the business processes are obligated to design these in such a manner that they prevent all forms of financial crime.

We are committed to fair securities trading and guard against insider trading.

Information that could have a significant effect on our share price is promptly disclosed by us in the form of an ad-hoc announcement.

Insider trading legislation and ad-hoc announcements

Misuse of inside information is prohibited. Our company is committed to fair **securities trading**. We take all necessary and appropriate actions to prevent insider trading at our company.

As a stock-listed company, the Mercedes-Benz Group is under a legal obligation to publish Mercedes-Benz Group-related inside information without delay in the form of an ad hoc announcement. As long as the announcement has not been published, the respective information may not be shared without authorization or used for securities transactions.

29

Examples of possible **inside information** include surprising:

- financial results
- changes in earnings projections or order situation
- changes in dividend
- planned mergers, partnerships or takeovers
- significant technical innovations
- important changes in management organization
- changes in important business relations.

We do not use confidential information to gain an advantage in stock trading.

All employees help ensure that inside information is published **without delay** and in a legally prescribed manner. Until such time, the information may only be made available to individuals who require the information to perform their duties. Those persons who possess inside information are prohibited from trading, either personally or via third parties, in securities that could be affected by the confidential information.

Corruption prevention

We do not give or take bribes.

At the Mercedes-Benz Group, we have a clear understanding of **what kind of business we will or will not do**. We do not give or take bribes. We impress our business partners and customers with our products and services and not through undue influence. We also do not allow others to exert undue influence on us. Instead, we make decisions for objective and verifiable reasons.

We do not leave any room for corruption because it is detrimental to competition and the common good. The Mercedes-Benz Group does **not tolerate corrupt behavior** by its employees, business partners or customers. Decisions involving corruption distort competition, harm the company's assets and reputation, and go against the common good. To prevent possible harm to the Mercedes-Benz Group, we avoid even the mere appearance of undue influence.

When dealing with government authorities, we avoid the mere appearance of an undue influence.

We also act in accordance with our corporate principles when in contact with government agency employees, public officials, politicians and employees of government-owned enterprises. **We avoid even the mere appearance** of undue influence, for example, through monetary payments, non-cash rewards and other benefits. We follow this rule even when such gifts are expected or supposedly customary for official business.

Through regular training and audits, we ensure that corrupt behavior is prevented, detected and punished.

Dealing with business partners

Gifts and invitations

Gifts and invitations are permitted within appropriate limits.

When dealing with business partners and customers, gifts and invitations (collectively referred to as "rewards") are common practice and permitted **within appropriate limits**. To prevent the Mercedes-Benz Group from suffering reputational damage or financial loss, we base our decisions on objective and verifiable reasons and are not influenced by inappropriate rewards.

We reject inappropriate rewards from business partners.

As employees, we may not **encourage, ask for or demand** gifts, invitations, personal services or favors for ourselves or others from business partners. We reject rewards when they can give rise to even the mere appearance of undue influence. When granting or receiving discounts and rebates, we take care to ensure they are appropriate.

Invitations must serve a business purpose and be appropriate for the occasion.

Advertising items and occasional gifts given voluntarily can be accepted if of reasonable value and scope. We accept **invitations** from business partners to dinners or events only if they are freely given, serve a business purpose, do not occur with excessive frequency and if the invitation is appropriate for the occasion.



Gifts and invitations

When dealing with business partners and customers, gifts and invitations within appropriate limits are common practice and permitted.

When receiving rewards from third parties, we orient ourselves to defined reference values. As an **amount** that can be seen as appropriate, we have set a reference value of **50 euros** for gifts from third parties and a value of **100 euros** for invitations from third parties. In case of doubt whether a gift or an invitation is appropriate, we consult with our manager.

We document the acceptance of rewards above the reference values.

If we receive rewards that exceed our reference values, we disclose them and document that we have received them. **Reporting obligations** pursuant to legal requirements, such as tax law, still apply.

Rewards given to business partners and customers must be appropriate.

Also when giving **gifts and invitations to our business partners and customers**, we follow the principle that even the mere appearance of undue influence must be avoided. Rewards are permitted only when they have an appropriate value and are given in the context of ordinary business activities. We are cautious and especially critical when determining whether it is appropriate to give gifts and invitations to government agency employees, public officials, politicians and employees of government-owned enterprises.

The Mercedes-Benz Group also expects ethical conduct from its

We subject potential business partners to a risk-based integrity check.

business partners.

Our business partners are required to comply with our Integrity Code.

Our business partners are also urged to require their suppliers to comply with our Integrity Code.

Choosing business partners

The Mercedes-Benz Group expects its employees to comply with our Integrity Code and laws. The Mercedes-Benz Group also expects its **business partners**, such as **suppliers and sales intermediaries**, to do the same.

Depending on the specific risk, we conduct an integrity check on our business partners before entering into any contracts. The employees responsible for choosing the business partners are obligated to screen them to the extent allowed by law using a **transparent selection process**. This process ensures that potential business partners fulfill the requirements and standards of this Integrity Code.

Even after entering into contracts, we expect our business partners to comply with these requirements. They undertake to base their actions on these values and continuously reflect upon them.

Our business partners are urged to communicate the Integrity Code and the resulting obligations to their employees. Furthermore, our business partners are obligated to share these principles with their **suppliers** as well and to ensure compliance.

In the event of potential **violations** of the requirements of this Integrity Code by business partners, the responsible employees must work together with the business partner to resolve the situation.

Sideline activities and governing body memberships

We engage in sideline activities only if they do not result in a conflict

Paid sideline activities (in particular, outside employment) by our employees must be disclosed to the responsible manager before employment starts. The manager will examine whether there could be a conflict of interest. In the event of a conflict of interest, the sideline activity can be prohibited to protect the company's legitimate interests.

The assumption of offices for other companies requires approval.

The **assumption of an office** on the management board, supervisory board, advisory board or other governing body of any other company could lead to conflicts of interest. For that reason, employees are permitted to assume such duties only after they have been approved.

Owning shares in business partners or competitors must

not result in a conflict of interest.

Shares held in business partners or competitors must be disclosed.

Shareholdings

As employees, we can acquire and hold shares and interests in business partners or competitors only if it is a **small-scale investment** and the possibility of a conflict of interest or the appearance of a conflict of interest has been ruled out. This rule may not be circumvented by having a third party hold the shares on behalf of the employee.

Before **joining our company**, potential employees who already hold larger than small-scale investments in business partners or competitors are required to disclose them to the responsible manager. This also applies to shares acquired by an employee through an inheritance.

Sustainability and social responsibility

Respect for human rights

We respect human rights and the core labor standards of the ILO.

The Mercedes-Benz Group respects the internationally recognized human rights and bases its actions on the United Nations Guiding Principles on Business and Human Rights. We place special importance on the rights stated in the International Bill of Human Rights and the core labor standards of the International Labour Organization (ILO).

We place special emphasis on the protection of fundamental labor rights. The Mercedes-Benz Group rejects all forms of discrimination in hiring and employment, slavery, child labor, threats against people who defend human rights and other human rights violations. The protection of the fundamental labor rights is important to the Mercedes-Benz Group.

We also pay close attention that our business partners respect human rights.

We work towards ensuring that also our business partners, especially **suppliers and their subcontractors**, also observe these principles. We take appropriate action and responsibility for due diligence concerning human rights in accordance with the United Nations Guiding Principles.

We strive to achieve an exemplary ecological and energy balance worldwide.

Our ambition is to develop products that are particularly environmentally friendly and energy-efficient along the entire value chain.

Environmental protection

We do our best not only in the manufacturing of our products but also in our efforts to protect the environment. Around the world, it is our ambition to achieve an **exemplary ecological and energy balance**, rising to the increasing demands of consumers as well as current and future ecological challenges.

Our ambition is to develop products that are **particularly environmentally friendly and energy-efficient** in their respective market segments. Our approach to environmentally friendly and energy-efficient design covers the entire product spectrum of the Mercedes-Benz Group, taking into account the product life cycle from design to production to disposal or recycling.

We design every stage of production to be as eco-friendly and energy-efficient as possible in order to minimize our environmental impact and energy consumption. We take internal and external measures to ensure transparency concerning our environmental impact.



Environmental protection

We strive to achieve exemplary environmental and energy performance worldwide.

We conduct a reliable dialogue with governments and organizations.

Representation of political interests

As a company that does business worldwide, we are part of the global political and social environment. We therefore take part in political and public processes of forming opinions as a trustworthy and dependable business partner. We engage in reliable and fact-based communication with governments, trade associations and organizations as well as social interest groups and incorporate their suggestions into our actions. When doing so, we take into account society's interest in **transparency and openness**.

Donations and sponsorship

For us, business success and social responsibility go hand in hand.

Our company contributes to the advancement of society and promotes equal opportunity. For us, business success and social responsibility go hand in hand. We contribute to the advancement of society and create recognizable benefits. Our approach is based on the motto of "With our employees – for our communities – around the world."

In our communities, the Mercedes-Benz Group supports a wide variety of initiatives aimed at furthering the advancement of society and promoting equal opportunity at the local level. **We strengthen communities**, promote social issues, education, science, art and culture, sports, traffic safety and also nature conservation. We support and encourage our employees' participation in company-sponsored volunteering activities.



For us, business success and social responsibility go hand in hand



How We Work | Our Behavior Guidelines

How We Work | Our Behavior Guidelines

When making donations, we do not expect anything in return

Donations are made only to organizations that have been recognized as charitable institutions. We do not make donations in the pursuit of self-serving financial interests; we neither demand nor expect anything in return. By contrast, our **sponsorship** activities are conducted in order to positively promote the reputation and public perception of our company through advertising.

Donations to and sponsorships involving political organizations require approval.

We follow the laws and internal regulations governing donations, sponsorships and charity activities. Donations and other contributions, sponsorships and monetary benefits that the Mercedes-Benz Group gives to **political organizations** (e.g. political parties and governmental institutions) require special approval. Party donations are subject to a decision by the Mercedes-Benz Group AG Board of Management. Political contributions must also undergo a special approval process.

Volunteering

We support volunteering by our employees.

Volunteering strengthens society. As a company that takes its responsibility to society seriously, we encourage and support the **volunteer activities** of our employees. We do so regardless of whether those activities are in support of democratic institutions, social activities or charitable giving.

Digitalization

Digitalization is creating new opportunities that we want to exploit responsibly.

Handling data

The digital revolution is creating new work processes, business areas and mobility concepts. Data enables innovative services that offer added value for our customers and employees. The principles of our Data Vision describe how we want to exploit future opportunities and focus on the needs of our customers and employees:

- Business Potential
- Consumer and Employee Benefit
- Data Quality
- Transparency
- Choice
- Data Security
- Data Ethics.

We use personal data only to the extent allowed by law and permitted by data subjects. We create trust among our employees, business partners and customers by respecting data protection as a personal right. That is why we process and use personal data only to the extent permitted by laws, regulations, our own Data Vision principles and the data subjects themselves.



Handling data

Digitalization is creating new opportunities that we want to exploit responsibly.



Our employees, business partners and customers should be able to trust that their data is safe with us. These principles extend beyond data protection. They describe the responsible and legally compliant handling of data as a whole, even if the data are not personal. Our aim is to consider data protection from the very beginning, analyze data intelligently and store, share and use it responsibly. We are **transparent** with our employees, business partners and customers about how we handle their data, and we provide them with the choice of whether and how we use their data.

We protect the data of our employees, business partners and customers from being accessed by third parties.

Those who entrust us with data should be able to count on it being safe with us. All employees bear responsibility for protecting personal data against unauthorized access by third parties and take the measures necessary to prevent unauthorized usage.

Social media

Social networks are having stronger and stronger influence in shaping public dialogue.

We do not tolerate any hate-filled, derogatory or discriminatory posts on social media. Social networks like Facebook, LinkedIn, Instagram and Twitter are playing an increasingly important role in public dialogue. We, too, use social networks and social media channels to communicate with our employees, business partners, customers and the public.

We are an open-minded, global organization. As described in our corporate principles, **tolerance and respect** are important for us. This also applies to protecting the privacy of our employees. We do not tolerate any hate-filled, derogatory or discriminatory posts on social media.

Examples of other unacceptable posts include those which:

- convey subversive content
- offend the dignity of other users
- threaten harmony within the company
- discredit our reputation and the reputations of our employees
- harm our relations with key stakeholders.

Artificial intelligence

Artificial intelligence is used to attempt to automate intelligent skills.

Artificial Intelligence (AI) comprises various methods used to attempt to automate intelligent skills. Today, the broadly defined term "AI" is often used in a more narrow sense of current progress in the field of machine learning. AI has already been implemented in some of our products and processes and will have greater and greater impact on our products, working methods and employees in the future.

To sustainably leverage the opportunities afforded by artificial intelligence to our benefit, we follow our demanding AI principles. These include ethical principles for the development and use of artificial intelligence. They address responsible deployment of artificial intelligence, explainability, privacy protection as well as security and reliability.



Artificial Intelligence

We design and use AI responsibly.



Scope of application

All employees are required to know the content of the Integrity Code and act accordingly.

Our business partners are also expected to follow the Integrity Code.

This Integrity Code applies to all employees. All employees are required to familiarize themselves with this Integrity Code, know it and comply with its provisions. If specific policies or other regulations have been enacted, then they are binding.

We also expect our **business partners** to know and comply with this Integrity Code. Anyone who does not share the principles described in this Integrity Code cannot do business with us.

The Mercedes-Benz Group expects its managers to set an example for others.

What we expect of our managers

Responsible conduct requires responsible managers. The Mercedes-Benz Group therefore expects managers at every level to fulfill their duty to **set an example** by behaving ethically, thereby giving employees guidance. Our managers are committed to excellent performance. At all times, they observe our corporate principles and behavior guidelines and the provisions of this Integrity Code. As they do, they serve as inspirational examples to their team members.

Managers promote a culture of respectful interaction.

Our managers behave with **respect** towards their staff and promote team spirit within their units. They provide their staff with the appropriate knowledge and enable them to act responsibly. Managers explain the reasons for their decisions to their staff members in order to enlist their support for objectives. Managers promote a culture of respectful interaction.

As individuals and an organization, it is our desire to learn from mistakes.

Our error management culture leads to openness, fairness and trust.

Managing errors

Part of who we are involves **learning from mistakes** as individuals and as an organization. Our approach to managing errors is therefore transparent and open, and we share our experiences in order to enable progress and innovation.

This requires shaping our corporate culture in such a way that wrong decisions are recognized, discussed and corrected. It is up to every one of us to take responsibility and create – or demand – a work environment in which employees have no concerns about speaking up about possible errors. We treat employees who disclose their mistakes with fairness and responsibility.

Complying with the Integrity Code

Complying with the Integrity Code

If we observe a violation of rules or regulations, we do not hesitate to address it.

Treatment of violations

Violations of laws, policies and other regulations can cause **massive financial losses**, involve the risk of fines being imposed on the Mercedes-Benz Group and its employees, do damage to our image and reputation, result in permits not being granted and cause us to be excluded from local markets. For these reasons, violations must be detected in a timely manner in order to prevent the Mercedes-Benz Group and its employees from suffering harm, thereby ensuring fair treatment of one another. If we as employees observe rules or regulations being violated or have reason to suspect that a violation of a rule or regulation has been committed, we do not hesitate to address it.

Our whistleblower system
BPO is open to all
employees, business
partners and third parties.

The **whistleblower system BPO** (Business Practices Office) is open to all employees, business partners and third parties who wish to report violations of rules or regulations that pose a serious threat to the company and its employees. If employees wish to report violations posing a minor risk, the company has other contact points available for them to contact.

Confidentiality and fairness are the most important principles of the BPO.

The BPO accompanies the processing of tip-offs until the case has been closed. While doing so, the BPO strives to maintain the highest possible level of **confidentiality**. Moreover, our whistleblower system places value on fairness – in dealing with both whistleblowers and employees affected by an allegation. The BPO always applies the principle of proportionality. We examine each case individually to determine what consequences are suitable, necessary and appropriate.

If you have **evidence of violations**, please contact the BPO via e-mail: **bpo@mercedes-benz.com.**

Employees can find additional information about the Integrity Code

Support and assistance

This Integrity Code cannot provide specific answers to every question and situation. If you are unsure and need guidance for your daily activities at work, you can find more detailed information and points of contact as an employee on our **website "Our Integrity Code"** on the intranet.

If you have questions about acting with integrity or this Integrity Code, please feel free to contact the Infopoint Integrity. You can reach the **Infopoint Integrity** at **info.integrity@mercedes-benz.com.**

Complying with the Integrity Code

Complying with the Integrity Code



Ad-hoc announcement

Public announcement by a listed company. Such announcements include insider information, i.e. information related to the company which might affect stock market prices of the company's shares.

Artificial intelligence

There is no universally consistent definition of Artificial Intelligence (AI). We have the following understanding: "Artificial Intelligence includes various methods that attempt to automate intelligent skills. The broad term "AI" is often used today in the narrow sense of the current advances in machine learning. "ML" is a subset of AI methods and is based on mathematical methods that find complex patterns in datasets. Again, a subset of machine learning is deep learning. It makes it possible to find complex patterns in very large amounts of data using (deep) neural networks."

Prolonged, successive and overarching animosity, victimization or discrimination hurting the affected person.

Business Practices Office

Whistleblower system at Mercedes-Benz Group, which is open to all employees and business partners to address risks and high-risk violations. High risks include offenses such as corruption, antitrust law violations and money laundering.

Commitment to excellence	Aspiration to create exceptional services and products in order to become a market leader in the respective sector.
Conflict of interests	A conflict of interests may arise whenever personal or individual financial interests collide with those of the Mercedes-Benz Group or the business partners. If the conflict of interests cannot be resolved, the individual may need to be removed from a certain office or function. Conflicts of interests may arise in connection with gifts, invitations, sideline activities and shareholdings in competitor companies.
Core labor standards of the International Labour Organization (ILO)	Social standards created by the International Labour Organization (ILO) within the world trade order to ensure decent working conditions and worker protection.
Corporate principles and behavior guidelines	Corporate principles are basic guidelines based on our corporate values that describe our self-image. They guide the employees in their day-to-day operations and are to be shared and lived by all employees.
	Behavior guidelines are specific rules that are binding for all employees. They are in keeping with the corporate values, corporate principles and the mandatory internal and external guidelines.

Corruption Abuse of an office or position in order to obtain an advantage. One common instrument of corruption is bribery.

Data Vision The aspiration of Mercedes-Benz Group concerning the handling of data: "We stand for sustainable data-based business models. That is why we focus on the needs of our consumers and employees and handle data responsibly." The data vision follows seven principles: Business Potential, Consumer Benefit, Data Quality, Transparency, Choice, Data Security and Data Ethics.

Design Design registration provides protection for the appearance of a product. Any industrial or handicraft item can be protected. The requirements for protection are that the design is new on the date of filing the application and differs from previous designs (so-called individual character). However, the German Patent and Trade Mark Office (DPMA) does

not examine compliance with these requirements before the design is registered. It is only examined by the civil courts in case of a dispute. The registration gives the owner the exclusive right to use the design. Design protection can last for 25 years from the filing date.

Discrimination Applying categories (such as social background, gender, skin color, religion, etc.)
to create, explain and justify unequal treatment without objective reason.

60 Glossary G

Diversity	Individual differences within a group of people like gender, age, origin, sexual orientation and other characteristics.	Insider trading	Stock exchange transactions where an investor uses previously unreleased relevant information that would heavily affect stock prices after its publication in order to gain an advantage over other investors.
Ecological and	Ratio of energy consumption to energy use, especially in terms of impact on		
energy balance	the environment.	Integrity check	Measures to verify that potential business partners comply with the Mercedes-Benz Group's value standards and, for example, do not engage in unfair or illegal practices.
Embargo	Government order prohibiting trade with a specific country.		
		Money laundering	Channeling of illegally generated funds into the legal economic cycle.
Equal opportunity	To employ, support and promote all employees according to their skills, capabilities		
	and performance irrespective of gender, age, origin, religion and other personal characteristics.	One Voice Policy	Uniform principles in external communication. The aim of the One Voice Policy is to ensure a company-wide consistent and coherent communication based on uniform publication principles. For this reason, employees who are authorized to communicate
Global Compact	United Nations initiative for responsible corporate management. The Global Compact pursues the objectives of an inclusive and sustainable world economy based on ten universal principles on human rights, labor standards, environment, anti-corruption and sustainable development goals.		with the media should only communicate information approved by the Communications unit. This does not apply to sales-supporting means of communication media such as product brochures or price lists, for which the marketing unit is responsible.
Inclusion	The conscious, integrative and appreciative approach to diversity, with the objective of including and treating all employees in an equal and fair manner.	Other contact points	To report violations of low risk, employees can contact, for example, their managers, the responsible human resource department, corporate security or the works council.

Glossary 6

Patent	Intellectual property right for innovative products, technologies and procedures. A patent guarantees its owner the exclusive right to decide whether and how third parties may use the protected invention. The protection period of German patents is 20 years.	Sanction	International coercive or penalizing measures against nations. Typical sanctions are, i.a., embargoes.
Personal data	All information directly or indirectly related to an individual including, for example, name, address and age.	Social media	Platforms and networks where private users as well as public institutions and companies may upload their own contents and posts such as photos and videos, share experiences and views, and comment on or review the content of others. Some of the most popular social media platforms are Facebook, Twitter, Instagram, blogs, Wikipedia and YouTube.
Product life cycle	All product life stages: design, production, disposal and recycling.		
		Speak-up culture	Corporate culture where uncertainties and potential risks can be reported to the
Regulatory conformity	Regulatory conformity in the context of product safety and conformity to technical regulations means compliance with national and international legal requirements, technical norms and standards, the state of the art in science and technology, and Mercedes-Benz		appropriate contact. This is to encourage each individual to freely voice their opinion and criticism.
	Group guidelines, as far as they stipulate technical standards.	Supervisory Board	Highest control committee at Mercedes-Benz Group, whose main purpose is to supervise the executive management, i.e. the board of management.
Reputational damage	Damage to a person's or a company's public image. Actions causing reputational damage		
	include violations of laws, internal and external rules and contracts. Antitrust violations, insider trading and bribery can pose an especially high risk.	Sustainability	Both present and future-oriented operating principles aimed at reconciling economic, ecological and social aspects.

64 Glossary 6

Trademark	Trademarks are signs that distinguish products and services of an enterprise from those of other enterprises. Words, pictures, sounds, three-dimensional shapes, colors or other signs can be registered as trademarks in the Register of the DPMA (German Patent and Trade Mark Office), provided they can be represented graphically. German trademarks last for ten years and can be renewed indefinitely thereafter.
Undue influencing	Exertion of influence on a person by means of rewards, such as donations or non-cash gifts.
United Nations Guiding Principles on Business and Human Rights	The UN Guiding Principles on Business and Human Rights are a set of international guidelines for preventing human rights violations in the context of business activities. They also address the responsibility of business enterprises in this context.
Volunteering	Voluntary, uncompensated work designed to serve the public good.
Wastefulness	Careless, excessive use of (corporate) resources.

Glossary 67

The applicable version is the one currently available in the Enterprise Regulations Database (ERD) on the social intranet.

Mercedes-Benz Group AG Mercedesstraße 120 70372 Stuttgart Germany

www.mercedes-benz.com

06/2022